

Applicant or Patentee: NovaNeuron Inc. Attorney's
Serial or Patent No.: N/A Docket No:
Filed or Issued: N/A 36541-0004
Title: GENE NECESSARY FOR STRIATAL FUNCTION, USES THEREOF, AND
COMPOUNDS FOR MODULATING SAME

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS -
(37 CFR 1.9(f) & 1.27(c)).. SMALL BUSINESS CONCERN

I hereby declare that I am

 the owner of the small business concern identified below:

X an official of the small business concern empowered to act on
behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN NovaNeuron Inc.
ADDRESS OF SMALL BUSINESS CONCERN Room 15 D 7, Tupper Building
Halifax, N.S. B3H 4H7
Canada

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled GENE NECESSARY FOR STRIATAL FUNCTION, USES THEREOF, AND COMPOUNDS FOR MODULATING SAME by inventor(s) Harold A. Robertson et al. described in

x the specification filed herewith

 application serial no. filed

 patent no. issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor(s), who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Neil H. Ritchie
TITLE OF PERSON IF OTHER THAN OWNER President, NovaNeuron Inc.
ADDRESS OF PERSON SIGNING 40 NovaNeuron
5859 University Avenue
Sir Charles Tupper Medical Bldg.
Room 15D7
Halifax, Nova Scotia B3H 4H7 Canada.

SIGNATURE Neil H. Ritchie DATE Oct. 4/00

DECLARATION, POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled GENE NECESSARY FOR STRIATAL FUNCTION, USES THEREOF, AND COMPOUNDS FOR MODULATING SAME, the specification of which

(Check one) ☒ is attached hereto.

☐ was filed on _____ as
Application Serial No. _____
and was amended on _____.
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, S.1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, S.119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority
Claimed

(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
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I hereby claim the benefit under Title 35, United States Code, S. 119(e) of the United States provisional applications listed below.

<u>60/158,043</u>	<u>October 7th, 1999</u>
(Application Number)	(Filing Date)

<u>60/217,765</u>	<u>July 12th, 2000</u>
(Application Number)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code, S.120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, S.112, I acknowledge the duty

to disclose material information as defined in Title 37, Code of Federal Regulations, S.1.56(a) which occurred between the filing date of the prior

REGULATIONS, S.1.56(a) which occurred between the filing date of the prior

application and the national or PCT international filing date of this application:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status)</u>
		(Patented, pending, abandoned)

POWER OF ATTORNEY

I hereby appoint P. E. McArdle (Registration No. 26,138), R.A.R. Parsons (Registration No. 28,159), P. K. Holland (Registration No. 28,174), J. R. Lake (Registration No. 31,081), R. S. Mitchell (Registration No. 31,228), W. B. Vass (Registration No. 36,416), R.H. Joachim (Registration No. 40,353), David Heller (Registration No. 43,384) and Ian McMillan (Registration No. 43,390) telephone no. (416) 868-1482, as my attorneys or agents to prosecute this application, to make alterations or amendments therein, to receive the patent and all correspondence relating to this application, and to transact all business in the U.S. Patent and Trademark Office connected therewith, and the said attorneys or agents are hereby given full power of substitution and revocation.

Address all correspondence and telephone calls to:

Mr. David J. Heller
c/o Ridout & Maybee
Suite 2400, One Queen Street East
Toronto, Ontario, Canada, M5C 3B1

Telephone: (416) 868-1482

I hereby declare that all statements made herein of my own know-ledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dr. Harold A. Robertson
Full name of first inventor

H.A. Robertson
Inventor's Signature

4/10/00 Canadian
Date Citizenship

Post Office Address:

2384 Clifton St., Halifax, Nova Scotia, Canada B3K 4V1

Residence Address:

2384 Clifton St., Halifax NS B3K 4V1

Dr. Eileen M. Denovan-Wright

Full name of second inventor

Eileen M. Denovan-Wright

Inventor's Signature

October 4, 2000

Date

Canadian

Citizenship

Post Office Address

22 Fleming Dr. Halifax, Nova Scotia, Canada B3P 1A9

Residence Address:

22 Fleming Dr. Halifax, Nova Scotia, Canada B3P 1A9